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DENSO REPORTED TO	Application Number 09/757,872					
DEN RADEMBE	Filing Date January 10, 2001					
TRANSMITTAL FORM	First Named Inventor John S. Flowers					
(to be used for all correspondence during pendency of filed application)	Group Art Unit Number 2134					
	Examiner Name Ellen C. Tran					
Total Number of Pages in This Submission 7*	Attorney Docket Number 23327-06893					
ENCLOSURES	(check all that apply)					
Fee Transmittal Form (in duplicate) Check Enclosed Return Receipt Postcard Response to Notice to File Missing Parts Assignment & Recordation Cover Sheet Declaration Power of Attorney Application Data Sheet Supplemental Information Disclosure Statement & PTO/SB/08A Copies of IDS Cited References Request for Corrected Filing Receipt Request for Correction of Recorded Assignment Amendment/Response: [] Page(s)	Issue Fee Transmittal Letter to Chief Draftsperson Formal Drawing(s): [] Sheet(s) of Figure(s) [] Appeal Communication to Board of Appeals and Interferences Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) Certified Copy of Priority Document(s) After Allowance Communication to Group					
REMARKS: *Page count does not include cited reference	ces.					
, ∩ SIGNATURE OF	ATTORNEY OR AGENT					
Signature:						
Attorney/Reg. No.: Hector J. Ribera, Reg. No. 54,39	7 Dated: Jan 10, 2005					
CERTIFIC	CERTIFICATE OF MAILING					
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Signature:						
Typed or Printed Name: Hector J. Ribera	Dated: au 10, 1005					
Express Mail Mailing Number (optional):						

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FER TO ANOMITTAL		Complete if Known
FEE KRANSMIIIAL	Application Number	09/757,872
TRADENIE C AND THE PROPERTY OF	Filing Date	January 10, 2001
FEE TRANSMITTAL for FY 2005	First Named Inventor	John S. Flowers
Patent fees are subject to annual revision.	Examiner Name	Ellen C. Tran
Applicant claims small entity status. See 37 CFR 1.27	Art Unit	2134
TOTAL AMOUNT OF PAYMENT (\$) 180.00	Attorney Docket No.	23327-06893

METHOD OF PAYME				F	EE CALCULATION (continued)		
Check Credit Card Deposit Account:	3. AE	DITION	AL FEE	S			
Deposit Account Number	19-2555	Large I	Entity	Small I	Entity	Fee Description	Fee Paid
Deposit Account Name	Fenwick & West LLP	Fee Code	Fee (\$)	Fee Code	Fee (\$)		
	prized to: (check all that apply)	1051	130	2051	65	Surcharge - late filing fee or oath or declaration	
	pelow Credit any overpayments	1052	50	2052	25	Surcharge - late provisional filing fee or cover sheet	
	s) or any underpayment of fee(s) due 1.17 during the pendency of this	1053	130	1053	130	Non-English specification	
application	grill daming the periodicity of the	1812	2,520	1812	2,520	For filing a request for ex parte reexamination	
	pelow, except for the filing fee to	1804	920*	1804	920*	Requesting publication of SIR prior to Examiner action	
the above-identified depo			1,840*	1805	-	Requesting publication of SIR after Examiner action	
	LCULATION	1251	120	2251	60	Extension for reply within first month	
1. BASIC FILING FEE		1252	450	2252	225	Extension for reply within second month	
Large Entity Small Entity		1253	1020	2253	510	Extension for reply within third month	
Fee Fee Fee Fee	Fee Description Fee Paid	1254	1,590	2254 2255	795	Extension for reply within fourth month	
Code (\$) Code (\$)		1255 1401	2,160 500	2401	1,080 250	Extension for reply within fifth month Notice of Appeal	
		1402	500	2402	250	Filing a brief in support of an appeal	
		1403	1000	2403	500	Request for oral hearing	
		1451	1,510	1451	1,510	Petition to institute a public use proceeding	
		1452	500	2452	250	Petition to revive - unavoidable	
ѕивтот	AL (1) (\$) 0	1453	1,500	2453	750	Petition to revive - unintentional	
2. EXTRA CLAIM FEES	FOR UTILITY AND REISSUE	1501	1,400	2501	700	Utility issue fee (or reissue)	
Extra	a Claims Fee from Fee Paid below	1502	800	2502	400	Design issue fee	
Total Claims -20**=	X =	1503	1100	2503	550	Plant issue fee	
Claims	X =	1460	_	1460	_	Petitions to the Director	
Multiple Dependent	=	1807	50	1807	50	Processing fee for Provisional Applications	
Large Entity Small Entity		1806	180	1806	180	Submission of Information Disclosure Stmt	180
Code (\$) Code (\$)	Fee Description	8021	40	8021	40	Recording each patent assignment per property (times number of properties)	
i	Claims in excess of 20	1809	790	2809	395	Filing a submission after final rejection (37 CFR 1.129(a))	
	Independent claims in excess of 3	1810	790	2810	395	For each additional invention to be examined (37 CFR 1.129(b))	
•	Multiple dependent claim, if not paid	1801	790	2801	395	Request for Continued Examination (RCE)	
1204 200 2204 100	**Reissue-independent claims over original patent	1802	900	1802	900	Request for expedited examination of a design application	
1205 50 2205 25	**Reissue claims in excess of 20 and over original patent	Other f	ee (specify	/)			
SUBTOT	AL (2) (\$) 0					SUBTOTAL (3) (\$) 180.0	0
"or number previously paid, if g	greater, For Reissues, see above	*Reduce	ed by Basic	Filing Fe	e Paid		

(Submey/ngent)	applicable)	Complete (if applicable)					SUBMITTED BY
Signature Date ()	(650) 335-7192	Telephone (650) 335-	54,397		ibera	Hector J. F	Name (Print/Type)
Jan 10	, 2005	Date Jan 10, 2005		hibera	1		Signature

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

ICANT:

John S. Flowers and Thomas C. Stracener

ICATION NO.:

09/757,872

FILING DATE:

January 10, 2001

TITLE:

Query-Based Rules For Use In Network Security Systems

EXAMINER:

Ellen C. Tran

GROUP ART UNIT:

2134

ATTY. DKT. NO.:

23327-06893

CERTIFICATE OF MAILING

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Hector J. Ribera Reg. No.: 54,397

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INFORMATION DISCLOSURE STATEMENT Under 37 CFR §§ 1.56 and 1.97-98

SIR:

Pursuant to the provisions of 37 CFR §§ 1.56 and 1.97-98, enclosed herewith is modified form PTO/SB/08A listing references for consideration by the Examiner.

The filing of this Information Disclosure Statement shall not be construed as a representation regarding the completeness of the list of references, or that inclusion of a reference in this list is an admission that it is prior art or is pertinent to this application, or that a search has been made, or as an admission that the information listed is, or may be considered to be, material to patentability, or that no other material information exists, and shall not be construed as an admission against interest in any manner.

This Information Disclosure Statement is being filed:

	within three months of the filing date of the application, or date of entry into
	the national stage of an international application, or before the mailing date of
	a first office action on the merits, whichever event last occurred;
П	before the mailing of a first official action after the filing of a request for

continued examination (RCE) under 37 CFR § 1.114;

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\boxtimes			onths of the filing date of this national application or the date of
			ational stage in an international application, or after the mailing
			st official action on the merits, whichever event last occurred, but
			ailing date of the first to occur of either: (1) a final action under
	37 CF	R §1.11	13; or (2) an action that otherwise closes prosecution in the
	applic	ation, a	nd:
	\boxtimes	attacl	hed hereto is the fee set forth under 37 CFR §1.17(p) for
		subm	nission of this Information Disclosure Statement under 37 CFR.§
		1.97((c); OR
		Appl	icant certifies pursuant to 37 CFR § 1.97(e) that:
			each item of information contained in this Information
			Disclosure Statement was first cited in a communication from
á			a foreign patent office in a counterpart foreign application not
			more than three months prior to the filing of this Statement;
			OR
			no item of information contained in this Information
			Disclosure Statement was cited in a communication from a
			foreign patent office in a counterpart foreign application and,
			to the knowledge of the person signing this certification after
			making reasonable inquiry, no item of information contained
			in this Statement was known to any individual designated
			under 37 CFR § 1.56(c) more than three months prior to the
			filing of this Statement;
	on or	before	the payment of the issue fee but after the mailing date of the first
	to occ	cur of e	ither: (1) a final action under 37 CFR § 1.113; (2) a notice of
	allow	ance un	nder 37 CFR § 1.311; or (3) an action that otherwise closes
	prose	cution i	n the application, and:
		Appli	icant certifies pursuant to 37 CFR. § 1.97(e) that:
			each item of information contained in this Information
			Disclosure Statement was cited in a communication from a
	•		foreign patent office in a counterpart foreign application not
			more than three months prior to the filing of this Statement;
			no item of information contained in this Information
			Disclosure Statement was cited in a communication from a
			foreign patent office in a counterpart foreign application and,
			to the knowledge of the person signing this certification after
			making reasonable inquiry, no item of information contained

J	in this Statement was known to any individual designated					
	under 37 CFR § 1.56(c) more than three months prior to the					
	filing of this Statement; AND					
•	attached hereto is the fee set forth under 37 CFR §1.17(p) for					
	submission of this Information Disclosure Statement under 37 CFR.§					
	1.97(c); OR					
	after the payment of the issue fee. Applicant requests that the information					
	contained in this Information Disclosure Statement be placed in the file					
	according to 37 CFR § 1.97(i), although the information may not be					
	considered by the USPTO.					
	f					
	this application, and for which there may be a duty to disclose.					
	The second secon					
	application No, filed on, and the references cited therein are hereby					
	referenced, but are not required to be provided in this application under 37 CFR §					
	1.98(d).					
`	or a series with the series of					
	the requirements under 37 CFR 1.98(a)(2)(i), copies of each U.S. Patent and each					
	U.S. Patent Application Publication are not required to be submitted. Copies of any					
	foreign patent documents and non-patent literature cited herein are enclosed.					
	Each item of information contained in this Information Disclosure Statement was					
	cited in a communication from a foreign patent office in a counterpart application,					
	and the communication was not received by any individual designated in 37 CFR §					
	1.56(c) more than thirty days prior to the filing of this Information Disclosure					
	Statement. 37 CFR § 1.704(d).					
· , _	Applicant submits that no fee is required for the consideration of this Information					
	Disclosure Statement.					
Co	onsideration of the listed references and favorable action are solicited.					
	Respectfully submitted,					
	JOHN S. FLOWERS AND THOMAS C.					
	STRACENER					
Dated:	By:					
_(Hector J. Ribera, Reg. No.: 54,397					
	Fenwick & West LLP					
	Silicon Valley Center 801 California Street					
	Mountain View, CA 94041					
	Tel.: (650) 335-7192					
	Fax.: (650) 938-5200					

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ubstitute for form 1449A/PTO Complete if Known Application No. MATION DISCLOSURE 09/757,872 Filing Date January 10, 2001 STATEMENT BY APPLICANT **First Named Inventor** John S. Flowers Art Unit 2134 **Examiner Name** Ellen C. Tran Sheet 2 Attorney Docket Number 23327-06893

	U.S. PATENT DOCUMENTS				
		Document No.			
Examiner Initials*	Cite No.1	Number – Kind Code ² (if known)	Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	
	A1	US-6,775,657 B1	08-10-2004	Baker	
	A2	US-6,771,597 B2	08-03-2004	Makansi et al.	
	А3	US-6,735,702 B1	05-11-2004	Yavatkar et al.	
	A4	US-6,735,169 B1	05-11-2004	Albert et al.	
	A5	US-6,708,212 B2	03-16-2004	Porras et al.	
	A6	US-6,687,833 B1	02-03-2004	Osborne et al.	
· ·	A7	US-6,578,147 B1	06-10-2003	Shanklin et al.	
	A8	US-6,574,737 B1	06-03-2003	Kingsford et al.	
	A9	US-6,502,135 B1	12-31-2002	Munger et al.	
	A10	US-6,484,203 B1	11-19-2002	Porras et al.	
	A11	US-6,470,384 B1	10-22-2002	O'Brien et al.	
-	A12	US-5,961,644	10-05-1999	Kurtzberg et al.	
	A13	US-5,923,646	07-13-1999	Mandhyan	
	A14	US-5,919,257	07-06-1999	Trostle	
	A15	US-5,850,516	12-15-1998	Schneier	

FOREIGN PATENT DOCUMENTS					
		Foreign Patent Document			
Examiner Initials*	Cite No.1	Country Code ³ – Number ⁴ Kind Code ⁵ (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	T ⁶
	B1	WO 03/100617 A1	12-04-2003	Lucid Security Corporation	
	B2	WO 02/19661 A2	03-07-2002	Top Layer Networks, Inc.	
	B3	WO 01/84270 A2	11-08-2001	Internet Security Systems, Inc.	-

Examiner Signature	Date Considered	

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609.

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¹Applicant's unique citation designation number (optional). ²See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶Applicant is to place a check mark here if English language Translation is attached.

PTO/SB/08A (10-01)

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Synctitute for form 1449A/PTO Complete if Known Application No. 09/757,872 INFORMATION DISCLOSURE **Filing Date** January 10, 2001 STATEMENT BY APPLICANT **First Named Inventor** John S. Flowers **Art Unit** 2134 **Examiner Name** Ellen C. Tran Sheet 2 2 Attorney Docket Number 23327-06893

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	OTHER REFERENCES – NON-PATENT LITERATURE DOCUMENTS				
Examiner Initials*	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	T _e		
	C1	Anonymous, Microsoft Computer Dictionary, 2002, Microsoft Press, Fifth Edition, page 291.			
	C2	PHIPATANASUPHORN et al., Vulnerability of Sensor Networks to Unauthorized Traversal and Monitoring, IEEE Transactions On Computers, March 2004, pp. 364-369.			
	C3	YURCIK, William, Controlling Intrusion Detection Systems by Generating False Positives: Squealing Proof-of-Concept, Proceedings of the 27 th Annual IEEE Conference on Local Computer Networks, 2002, pp. 134-135.			
-					

Examiner Signature	Date Considered	

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609.

Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹Applicant's unique citation designation number (optional). ²See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶Applicant is to place a check mark here if English language Translation is attached.